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RESEARCH ARTICLE

# The Role of AI-Based Artifacts' Voice Capabilities for Agency Attribution

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#### **Abstract**

The pervasiveness and increasing sophistication of artificial intelligence (AI)-based artifacts within private, organizational, and social realms are changing how humans interact with machines. Theorizing about the way that humans perceive AI-based artifacts is, for example, crucial to understanding why and to what extent humans deem these artifacts to be competent for decision-making but has traditionally taken a modality-agnostic view. In this paper, we theorize about a particular case of interaction, namely that of voice-based interaction with AI-based artifacts. We argue that the capabilities and perceived naturalness of such artifacts, fueled by continuous advances in natural language processing, induce users to deem an artifact as able to act autonomously in a goal-oriented manner. We show that there is a positive direct relationship between the voice capabilities of an artifact and users' agency attribution, ultimately obscuring the artifact's true nature and competencies. This relationship is further moderated by the artifact's actual agency, uncertainty, and user characteristics.

Keywords: Voice, User Perception, Agency, Artificial Intelligence, Human Computer Interaction

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#### 1 Introduction

With the rise of artificial intelligence (AI)-based artifacts and their increasing prevalence in organizational and day-to-day contexts, scholars have theorized about the extent to which human decision-making can be automated and augmented through AI (Berente et al., 2021; Brynjolfsson, 2022; Gregory et al., 2021; Metcalf et al., 2019; Raisch & Krakowski, 2020), i.e., the adequate agency of an artifact (Baird & Maruping, 2021; Jennings et al., 1998; Puranam & Vanneste, 2022). This is underlined by the conceptual understanding of AI, defined as an artifact's performance of "cognitive functions usually associated with human minds" (Nilsson, 1971, qtd. in Raisch & Krakowski, 2020, p. 192).

Increasingly, AI-based artifacts are being embedded in interfaces that interact with the user via natural language dialogue that can be differentiated by modality, i.e., text or voice (Manning & Hirschberg, 2015; Schöbel et al., in press). In particular, the handsand eyes-free nature of voice-based interaction offers opportunities for industry applications such as surgery machinery, and for certain groups, such as visually impaired individuals (Branham & Roy, 2019). However, the naturalness of the interaction evoked through a humanlike artifact voice and dialoguecoupled with the complexity and opacity of AI—might induce users to make inappropriate inferences about the artifact's capabilities (Berente et al., 2021; Lin et al., 2017; Malle et al., 2020; Natale, 2021). This becomes particularly apparent when considering voice-based artifacts such as Amazon Alexa taking breathing breaks, adapting its tone of voice to the context of an interaction, and learning from mistakes from previous interactions (Low, 2020; Tarantola, 2020). Alexa's voice capabilities can make the system appear highly capable—and agentic.

When evaluating the thesis of whether AI-based artifacts' possess agency, one can differentiate between the ontological claim that AI-based artifacts are objects of agency (i.e., actual agency) and the empirical claim that people conceive of such artifacts as objects of agency (i.e., agency attribution). While extant literature has addressed the question of whether AI-based artifacts (should) have agency (e.g., Baird & Maruping, 2021; Siddarth et al., 2021), the empirical study of when and why humans might perceive AI-based artifacts as agentic introduces a novel, important perspective to this discussion (Puranam & Vanneste, 2022). The motivation for our current work was to revisit and expand extant notions of human-computer interaction (HCI) on why and under which circumstances users attribute agency—the ability to act in an autonomous, flexible, and situated manner—to artifacts (Gray et al., 2007, Puranam & Vanneste, 2022).

The sociotechnical perspective of HCI can help explain how humans perceive and interact with artifacts (Zhang et al., 2002). For example, Jussupow et al. (2021) found that users unconsciously consign decision agency to artifacts and thereby also rely on incorrect AI advice, ultimately leading to "a broader but less obvious substitution of human agency in crucial decision tasks" (p. 731). In that sense, agency attribution is an important cognitive step that influences users' downstream behavior, as well as the relationship and future interactions between AI-based artifacts and their users. To date, HCI research has studied the way that users perceive (Diederich et al., 2022), rely on (Fügener et al., 2022), and adapt their behavior to AI-based artifacts (Strich et al., 2021) but has focused on AI-based artifacts as a rather generic, modality-agnostic system class. As "AI technologies ... occupy a curious place somewhere between humans and inanimate technology in the extent to which they are seen as agentic" (Puranam & Vanneste, 2022, p. 4), studying specific artifact characteristics, such as interaction modality, is decisive in understanding the underlying cognitive processes. In turn, such an understanding can help explain why and what factors induce users to attribute agency to artifacts.

Specifically, we explore the phenomenon of voice-based user interaction with AI-based artifacts as an emerging and essential facet of HCI (Seaborn et al., 2021). In this paper, we study the role of voice capabilities of AI-based artifacts for agency attribution. Our point of departure is the reasoning that a user's perception of an AI-based artifact involves a sociocognitive process and is formed by a multitude of

factors. More precisely, we posit that voice resembles natural human interactions more than any other interaction modality and might thereby project capabilities beyond an artifact's actual agency. Taking a modality-agnostic view, prior information systems (IS) and HCI literature cannot readily explain why, how, and when users deem an artifact to be capable and how voice as an interaction modality exacerbates issues of agency attribution. In this research, we thus examine the role of voice to address the following research question:

**RQ:** Why and under what conditions is agency attributed to an AI-based artifact through interactions via voice?

We develop a model of voice-based user interactions with AI-based artifacts that complements and extends existing theory on HCI (Zhang et al., 2002; Diederich et al., 2022). Extant work on agency attribution posits that an artifact's (social) cues give rise to certain expectations and attributions about the system, such as trust and the intention to use (Puranam & Vanneste, 2022; Zhao & Malle, 2022). Based on the premise that AI-based artifacts differ significantly from other types of information systems in terms of technical performance and the nature of interaction, a fresh look at agency attribution is warranted (Schuetz & Venkatesh, 2020). In this paper, we propose that considering an artifact's "voice capabilities"—that is, the machine-based synthesis of voice embedded in AIbased artifacts—yields not only a more comprehensive understanding of how human users interact with AIbased artifacts but also a unique understanding of when humans are more likely to attribute agency to an artifact.

Addressing our research question is relevant for three key reasons. First, voice as an interaction modality is fundamentally changing HCI. With voice-based interactions more closely resembling human communication and transmitting rich nonverbal cues about the speaker, they afford a distinctive understanding of how AI-based artifacts impact their users. Coupled with its often disembodied and lowdefinition characteristics, the voice of AI-based artifacts can act as a potentially hazardous and unjustified multiplier of users' reactions (i.e., agency attribution) to these artifacts (Natale, 2021). Second, agency attribution can act as a mediator for important subsequent perceptual and behavioral outcomes (Puranam & Vanneste, 2022). Understanding users' cognitive processes can shed light on the reasons why attributed agency might deviate from a system's actual capabilities and can potentially explain human behaviors, such as the overreliance on AI-based artifacts. This understanding can, in turn, inform system engineers and designers on how to calibrate users' understanding of an artifact's actual capabilities. Third, the introduction of AI-based artifacts into work systems raises the question of how we should design organizations and associated decision-making

processes in the era of human-AI hybrids (Lebovitz et al., 2022; Rai et al., 2019). While voice-based interactions promise to facilitate and shape work activities more effectively, novel issues of privacy and the previously mentioned implications of overreliance play an important role in organizational contexts (Martin & Finnegan, 2020). Studying consequences of voice-based interactions, both intended and unintended, is of critical improtance. Ultimately, examining how the introduction of voice as an interaction modality shapes human perception, behavior, and interactions with AI-based artifacts is essential to understanding how HCI is increasingly mimicking the breadth of human interaction.

### 2 Conceptual Background

Before presenting our conceptual model, we first summarize the relevant prior work on voice and interaction with AI-based artifacts.

# 2.1 Voice as an Interaction Modality of AI-Based Artifacts

Voice is of utmost importance to human life and interaction because of its profound physiological, psychological, cognitive, and behavioral effects. It acts as humans' primary warning mechanism, is considered the most distracting sound, and substantially influences our mood, emotions, and behavior (Treasure, 2020). In contrast to speech, voice "is the way something is said (pitch, timing, loudness)" (Crumpton & Bethel, 2016, p. 271). Despite its seemingly simple output, voice seems to be considerably more intuitive and multifaceted than other modalities. First, voice-based interaction facilitates natural communication through sequential turn-taking and thus more natural interaction pauses. Due to the higher time resolution associated with hearing, as compared to reading, information can be processed much more quickly through voice (Rubin et al., 2000; Villazon, 2021). Second, voice-based interaction is characterized by more colloquial language and syntax, which allows for the effortless expression and reception of information (Dennis et al., 2008). Third, voice encompasses signals beyond the mere provision of information (Rosenthal & Ryan, 2000). Voice can reveal information about personality, identity, and emotions (Sutton et al., 2019). In fact, voice has been defined as "the carrier of speech" (Belin et al., 2004, p. 129) because it becomes distinguishable from other modalities by signaling social cues beyond linguistic meaning of the information communicated (Redeker, 1984). Combined, these factors make voice a natural and meaningful interaction modality, even for interaction with AIbased artifacts.

Based on natural language processing (NLP), AI-based artifacts can understand, learn, and respond to human language (Gentile et al., 2011; Hirschberg & Manning, 2015). Voice-based interaction is accessible through stand-alone interfaces such as Amazon Alexa and less tangible artifacts such as automotive user interfaces and can be integrated into everyday devices, e.g., desktop interfaces such as Microsoft Cortana (Balasuriya et al., 2018; Craig & Schroeder, 2017). With automatic speech recognition and natural language understanding, which enable machines to understand human users' intent and translate it into textual data, natural language generation and text-tospeech (TTS) technology convey information back to users and provide them with auditory responses (Pearl, 2016). TTS is enabled by relying on human recorded voice and, more recently, increasingly sophisticated synthetic speech generation. Lee and Nass (2004) coined this type of computer-synthesized speech as "doubly-disembodied language"—i.e., artificially generated speech that is not only disembodied from actual human speakers but no longer originates with humans at all.

As presented in Figure 1, dialogue management connects the processes of automatic speech recognition and natural language understanding by determining users' requests and their appropriate reactions, i.e., performing a requested activity or providing the user with an auditory response (Hirschberg & Manning, 2015). Through steadily improving accuracy in automatic speech recognition, dialogue management, and TTS, the ability to recognize and produce normal human conversational behavior has become prevalent in AI-based artifacts. This development is particularly striking when considering the increasing prevalence of large language models (Vaswani et al., 2017). Software development kits such as Python's NLTK provide end-to-end platforms for combining all of these processes (Bird et al., 2009).

Enabled by this technical interaction process, AI-based artifacts can en- and decode auditory cues from the human voice (Hildebrand et al., 2020; Schmitt et al., 2021; Seaborn et al., 2021). Jurafsky and Martin (2023) provide a good starting point for understanding the components of voice and its translation into AI-based artifacts. They propose four layers—amplitude, frequency, temporality, and quality—that can describe the physical properties of a human soundwave. The amplitude of a soundwave describes the loudness or intensity of a voice with a high mean intensity and determines whether the voice will be perceived as extroverted or assertive (Hess et al., 2009).

Figure 1. Technical Process of Human Interaction with Voice-Based Artifacts

Frequencies explain how high-pitched a waveform sounds and include formant frequency, which is the lowest harmonic frequency (i.e., pitch) perceivable by humans (Law & Rennie, 2015). Its importance is reflected in the literature as the most observed dimension of all auditory cues (Elkins & Derrick, 2013; Nass & Lee, 2001; Tay et al., 2014). Temporality captures a soundwave in terms of time and includes auditory cues such as the rate of speech (i.e., syllables per second) and pauses (unfilled or filled through nonverbal expressions such as "uh-huh" or "hmm"). Lastly, the quality of a voice is perceivable through the harmonic-to-noise-ratio as the ratio of periodic to nonperiodic components of speech sounds, for instance, which influences the perceived creakiness of a voice. Understanding auditory cues in the human voice enables us to lay out the technical feasibility of creating and modifying voice in AI-based artifacts and identify the differentiating factors of voice employed by AI-based artifacts.

While very few voice-based artifacts fully execute tasks themselves or interact in open domains, the hands- and eyes-free nature of voice offers promising applications for certain user groups, such as children (Aeschlimann et al., 2020), the elderly, (Straßmann et al., 2020), and visually impaired (Abdolrahmani et al., 2018) and disabled people (Masina et al., 2020), as well as for certain use cases such as surgery (Perrakis et al., 2013) and driving scenarios (Truschin et al., 2014), where high cognitive demand is required. At the same time, the adaption of an artifact's voice also leaves room for the manifestation of stereotypes. Previous studies have illustrated how voice genders are attributed to gender-stereotypical tasks, e.g., a male voice for an artifact deployed for a security task (Trovato et al., 2017) or a female voice for an artifact deployed for personal home assistance (Carpenter et al., 2009).

# 2.2 Human Interaction with AI-Based Artifacts

When discussing an AI-based artifact's "emulation capabilities, i.e., its ability to think humanly" (Berente et al., 2021, p. 1436), the question arises of how capable a human actually perceives an artifact to be. From a sociotechnical perspective, user interaction with AI-based artifacts consists of three key components—the human (i.e., the user of an AI-based artifact), the technology utilized (i.e., an AI-based artifact), and the goal to be achieved (i.e., the task

augmented by the AI-based artifact) (Zhang & Li, 2005). In that sense, human interaction with AI-based artifacts hinges on how users perceive AI-based artifacts. Agency attribution is thus a critical cognitive step that influences the relationship and future interactions between users and AI-based artifacts (Puranam & Vanneste, 2022). Interestingly, why we deem an artifact to be capable of executing a task or the extent to which an individual attributes agency to an artifact depends not only on the system capabilities experienced but also on their interplay with contextual factors and the user's beliefs and cognitive heuristics (Jia et al., 2022; Ross et al., 1977).

Considering the technological advancements in NLP, with organizational and individual tasks being increasingly augmented by AI-based artifacts, we can expect that human interaction with AI-based artifacts will become increasingly effortless and natural and thus also voice based. These tendencies warrant a fresh look at human interaction with AI-based artifacts. The predominant auditory interface modality interaction via spoken language provide a dialogue logic closely aligned with the human senses used in communication, thus resembling human-human interaction more closely than alternative HCI (Cohen et al., 2004; Quesada & Lautenbach, 2017; Zierau et al., 2022). It should be noted that voice-based artifacts not only provide HCI via a different modality but also give rise to novel aspects considered within an interaction, i.e., the richness of information transmitted. The way in which a voice is interpreted significantly depends on users' hearing capabilities impaired their sociocultural hearing), associations (e.g., identifying an accent and assigning value to it), and contextual factors (e.g., a familiar environment or a noisy background). Voice-based artifacts are based on the ability to understand and respond to human spoken language and thus also on the ability to create a natural voice. We therefore expect voice-based interactions and the specific auditory cues of AI-based artifacts to give rise to distinct implications for the user. The agency attributed to an artifact would then be a function of the voice capabilities of the artifact.

Research findings building on the computers are social actors (CASA) paradigm and social response theory (Nass et al., 1994; Nass & Moon, 2000) provide a seminal starting point for exploring voice-based interaction with

AI-based artifacts. These theories posit that humans unconsciously apply social heuristics and respond to technological artifacts in a "social manner" comparable to the heuristics applied to humans. Extant studies have shown that voice and its components, such as rate of speed and pitch, directly impact users' perceptions of the artifact or the overall interaction (Chiou et al., 2020; Gálvez et al., 2020). For instance, using a robot in an investment task, Torre et al. (2020), found that a high pitch not only represented the auditory correlate of a smiling voice but also resulted in users placing greater trust in the robot. In an experimental setup of a hotel reservation task, the inclusion of pauses was proven to increase the perceived naturalness of a voice-based artifact and compensated for the lack of logical flow in the interaction (Marge et al., 2010). On the other hand, more natural or humanlike voices do not necessarily always translate into desirable perceptual or behavioral outcomes. While synthetic voices have been associated with less naturalness and social presence than recorded human voices, numerous studies have shown a significant positive effect on trust and preference. Moreover, in learning contexts, synthetic voices have been found to positively affect learning transfer, training efficiency, and engagement (Craig & Schroeder, 2017; Komiak & Benbasat, 2003; Qiu & Benbasat, 2005; Tamagawa et al., 2011). While attributed agency seems to be primarily influenced by the perceived naturalness of an artifact and its voice, other factors seem to influence the agency we ascribe to such artifacts.

In sum, the underlying mechanisms of how voice-based interactions with an AI-based artifact affect the agency users attribute to the artifact influence HCI by modifying and extending the extant interaction behavior, information transmitted, and evoked user perceptions. Accordingly, we develop a conceptual model addressing our research question of why agency attributed to an artifact is driven by the voice capabilities of an AI-based artifact.

## 3 A Model for Exploring the Role of Voice Capabilities for Agency Attribution when Interacting with AI-Based Artifacts

Figure 2 shows our model for explaining the role of voice capabilities for agency attribution in interactions with AI-based artifacts. This study's explicit focus on voice as a predominant interaction modality for AI-based artifacts is phenomenon driven and motivated by the distinctive implications introduced by voice as an interaction modality. Voice-based interactions are manifested in the positive direct relationship between the voice capabilities of an AI-based artifact and the agency attributed to this

artifact by the user interacting with it—a relationship that is moderated by an artifact's actual agency, uncertainty, and the user's characteristics.

The model is based on the following boundary assumptions: First, the "changing expectations and practices of consumers ... influence the IT-related activities of workers and managers in organizations" (Gregory et al., 2018, p. 1225) and turn voice-based artifacts into technology that is no longer used solely by consumers in everyday life and for leisure but also by workers in organizational decision-making (Assumption 1). IT consumerization has thus blurred the line between using voice-based artifacts at work or for leisure, with such artifacts being applicable to automating or augmenting individual-level decision-making in both contexts (Elshan et al., 2023; Raisch & Krakowski, 2020). Tedious work-related tasks such as booking meeting rooms and starting conference calls are being increasingly automated through voice-based artifacts (Finnegan, 2017). For instance, Alexa for Business can schedule calendar events and transcribe discussion points after meetings (Amazon Web Services, 2022).

Second, while voice is one of several interaction modalities used in HCI, disembodied AI-based artifacts interacting via voice only are increasingly prevalent (Natale, 2021). According to Heider's (1920; 1925) attribution theory and theory of object perception, human perception and the attribution of traits to others are reconstructive processes informed by perceptual cues (Malle & Ickes, 2000). Bringing these two notions together and holding voice constant as the predominant interaction modality, *users reconstruct and hence make sense of AI-based artifacts through perceivable variances in the medium of voice* (Assumption 2)—as compared to the potentially more invariant qualities (i.e., nonobservable, technical qualities) of an AI-based artifact.

Third, in line with the conceptual understanding of AI and its emulation capabilities, the degree of AI-based artifacts' competence and ability to interact and execute tasks can be viewed as the degree to which they can mimic human capabilities and skills (Brynjolfsson & Mitchell, 2017; Samuel, 1959). Simultaneously, agency can be viewed as a signal of such ability (Puranam & Vanneste, 2022). This suggests that holding human agency based on age and cognitive ability constant, the agency we would attribute to another human is the highest level of agency attributable to an AI-based artifact (Assumption 3). This is in line with Puranam and Vanneste's (2022) theorizing about agency attribution, in which they compare different types of artifacts and posit that "the regression model is likely to be perceived as having the lowest level of agency and the human, the highest" (p. 11), with conversational and hence voicebased artifacts falling somewhere in between. Drawing on this set of assumptions, we explain our conceptual model in the following sections (also see Figure 2).

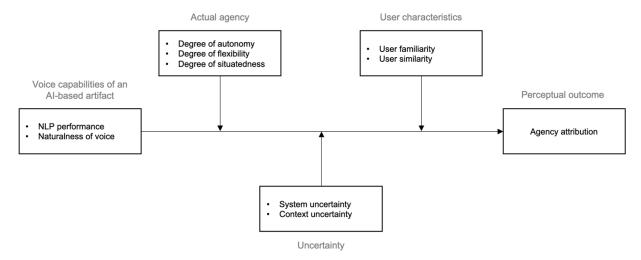


Figure 2. Conceptual Model

#### 3.1 Voice Capabilities

We argue that an artifact's voice capabilities are a key driver in agency attribution and that they exacerbate potentially inappropriate levels of attribution, with such capabilities defined as the machine-based synthesis of voice embedded in AI-based artifacts (Assumption 2) (Figure 2). The main mechanism through which voice capabilities can enhance the perceived agency of an artifact is by interacting with users in a dialogue-based, interactive manner (Balasuriya et al., 2018; Seaborn et al., 2021).

For an AI-based artifact to interact via voice, it must be able to (1) identify, understand and respond to spoken language (hereafter coined as NLP performance) and (2) create a natural voice (hereafter coined as naturalness of voice). The desired emulation capabilities of AI (Assumption 3 of our model) become apparent when examining the design of voice: "The best approach for creating systems that comprehend or produce speech is to model human physiology and cognition. ... This approach to the problem of designing speech systems has emerged as the dominant paradigm for design, especially in speech-output systems" (Nass & Gong, 2000, p.38). Both Amazon Alexa and Apple Siri interact with the user by understanding the spoken language of the user and by providing an answer that sounds very natural. Interaction design theories suggest that when an artifact sounds human and follows that pattern of a voice-based interaction between humans, users are inclined to behave and make attributions about the AIbased artifact using the same attribution mechanisms applied to humans (Nass & Gong, 2000).

In line with research on stimulus generalization (Guttman & Kalish, 1956; Shepard, 1987), "organisms extend highly practiced stimulus responses to new stimuli if they resemble the original" (Zhao & Malle,

2022, p. 2). This notion can be applied to comparisons between stimuli deriving from humans versus machines: "Individuals behave toward and make attributions about voice systems using the same rules and heuristics they would normally apply to other humans" (Nass & Gong, 2000, p. 38). Studies have shown that users assign personality traits to synthetic voices, such as Apple Siri or Microsoft Cortana (Hacker, 2021), and even expect voice-based artifacts to exhibit characteristics that are usually attributed to humans (Kim et al., 2021). Amazon Alexa users may consider the voice-based artifact to be their new best friend (Purington et al., 2017) or perceive a robot voice to be "smiling"—an attribution usually made to humans (Torre et al., 2020). Understanding how humans react to fellow humans can be helpful in determining how users will react to AI-based artifacts. Presumably, if users unconsciously interpret the voice of an AI-based artifact as they would a human voice. users would be expected to attribute agency to an artifact much as they would to a human. With increasing ubiquitous computing moving toward hands- and eyes-free computing focusing on voice, this social attribution is exacerbated even further, as no further cues beyond auditory cues may be available to the user (Natale, 2021). For example, Google's Duplex made users believe they are talking to another human, as illustrated by recordings at Google's developer conference I/O (O'Leary, 2019). NLP performance and the naturalness of voice influence users' agency attribution through stimulus generalization (Guttman & Kalish, 1956).

Effectively, both NLP performance and the naturalness of an artifact's voice and their effect on users' cognitive sensemaking (Guttman & Kalish, 1956; Nass & Gong, 2000; Natale, 2021; Shepard, 1987) must be taken into account to understand how AI-based artifacts' voice capabilities impact agency attribution.

# 3.1.1 Natural Language Processing Performance

Users interacting with an AI-based artifact are free in terms of the input they provide and how they react and use the output provided by the artifact. For example, users interacting with an Amazon Alexa can independently and flexibly decide when and how to pose any questions or demands they wish. Because of users' autonomy, different users might pose the same question using very different words or syntax. In a similar vein, voice-based interactions might be influenced by background noise or a user's accent. Such factors can influence the artifact's ability to understand users' input and thus impede the NLP performance of a voice-based artifact.

NLP is embedded in personal assistants such as Apple Siri, predictive text, language translation, and information retrieval technology. technologies being increasingly deployed to assist individuals in their daily tasks and augment their decision-making, NLP enables such assistance and augmentation, allowing it to become even more effortless (Gregory et al., 2021). An artifact's NLP performance can be understood as its ability to understand human language as it is spoken and written. In other words, NLP helps machines communicate with humans in human language. When discussing NLP performance, a variety of performance metrics (i.e., accuracy, precision, recall) enable the measurement of the artifact's capabilities from a technical perspective (Billsus & Pazzani, 1999; Sujatha & Rajagopalan, 2017). Artifacts enabled by high NLP performance capabilities can result in a higher accuracy of understanding a user's input, generating results that achieve the purpose of an interaction in an efficient and skillful manner.

In an ideal scenario, an AI-based artifact would decode natural language as input and encode appropriate natural language as output (Keyser et al., 2019). For instance, naive Bayes classifier models are trained on a certain number of intents with a predefined confidence score. As answers are predicted based on the probability of an identified intent, the confidence score determines whether a user input belongs to a specific intent and hence whether and what kind of answer to provide (Narynov et al., 2021; Ruan et al., 2019). While a higher model confidence might classify answers more accurately, it might also identify intent less reliably, resulting in the artifact being unable to provide an answer or asking users to reformulate their input. A lower model confidence, in turn, might offer a lower barrier to identifying intent but might classify answers less correctly—by providing answers that are not goal oriented or do not fully match the user's intent. In both cases, breakdowns will lead to reduced NLP performance (as measured in accuracy) and, from a user perspective, may dampen the perceived competencies of

the AI-based artifact. In a similar vein, large language models might be limited in their performance due to limited model size and data collected in limited contexts (Tamkin et al., 2021). Considering the temporal dimension of voice-based interactions, the same holds true if an AI-based artifact does not properly recognize when a user input is finished, e.g., by not identifying natural pauses and subsequently interrupting the user or posing an unnecessary follow-up question. This can result in interaction breakdowns that make users unsure about how to continue interacting with the artifact and reduce the naturalness of the interaction. Performance failure can thus occur at multiple points, including automatic speech recognition, natural language understanding, and natural language generation (see Figure 1). On this basis, we posit:

**Proposition 1a:** The greater the natural language processing performance of an AI-based artifact, the higher the agency attributed to this artifact is likely to be.

#### 3.1.2 Naturalness of Voice

As illustrated by the previous examples, the performance of an AI-based artifact in both understanding and reacting via voice has a detrimental impact on the flow of the interaction and the perceptions of the user, which are also influenced by the naturalness of the artifact's voice. The naturalness of a voice can be understood as the replicability of human auditory cues in the design of AI-based artifacts (Assumption 3) (Epley et al., 2007; Seaborn et al., 2021; Zheng & Jarvenpaa, 2021). The ability to resemble the human voice (i.e., giving an artifact a human voice) is enabled through the technical complexity, variety of, and interplay of various auditory cues encodable in AI-based artifacts. According to media equation theory, humans assign personalities not only to other humans but also to machines (Reeves & Nass, 1996), and modifying and combining auditory cues creates certain personality trait associations (Chang et al., 2018). Examining commercially available artifacts including Google Assistant, Microsoft Cortana, and Amazon Alexa, Völkel et al. (2020) demonstrated how artifacts are ascribed certain personality traits that we would normally ascribe to humans and how the perceptions of machine personality can be deliberately shaped. In an e-commerce setting, Nass and Lee (2001) manipulated four auditory cues (volume, fundamental frequency, frequency range, and speech rate) to create extroverted and introverted voice personalities and found that the personality conveyed by the voice had a significant effect on user perceptions: Participants who listened to the same book review rated it differently in terms of liking and credibility depending on the voice. According to the authors, to maximize liking and trust, auditory cues should be set in a way that creates a personality consistent with the user—human—and the presented content.

Commercial developers are exploring the possibilities of auditory cues to deliver a natural experience and improve interaction flow, including Amazon's "onebreath test" to guide the speech rate of a synthetic voice or the design of pauses between a certain number of words (Branham & Roy, 2019; Low, 2020). Other examples of speech design software that manipulate the naturalness of voice include Amazon Polly<sup>1</sup> and Google WaveNet, which enable the direct modification of accent and gender and allow users to train a synthetic voice using samples of their own recorded voice. Commercial artifacts, such as Apple Siri, are increasingly providing alternative gender (and accent) options in addition to the default female voice (Khaled, 2021; Tolmeijer et al., 2021). Interestingly, additional features allow for the direct manipulation of emotions, such as excitement or disappointment (e.g., Amazon Polly) or the creation of a whispering voice (e.g., MaryTTS1). Research has demonstrated that the imitation of auditory cues found in human-to-human interactions, such as response latency, can increase the perceived naturalness of artifacts and make up for a lack of logical flow in interactions with AI-based artifacts (Marge et al., 2010).

As these examples illustrate, artifact voice capabilities that enable greater voice naturalness not only induce users to apply heuristics and attributions to AI-based artifacts that are usually applied to other humans but greater artifact voice naturalness also induces higher perceptions of hypothesized general performance. As proposed by the second assumption of our model, designing for social cues further exacerbates the human tendency to apply social rules to machines (Guttman & Kalish, 1956; Puranam & Vanneste, 2022; Shepard, 1987; Zheng & Jarvenpaa, 2021).

**Proposition 1b:** The greater the naturalness of the voice of an AI-based artifact, the higher the agency attributed to the artifact is likely to be.

#### 3.1.3 Actual Agency

From a sociological perspective, agency is commonly referred to as the ability to "think, plan, and act" (Puranam & Vanneste, 2022, p. 3) or to "act with intent" (Murray et al., 2021, p. 7)—an ability usually ascribed to humans (Assumption 3 within our model). Similarly, Russel and Norvig (2016) define artifacts as possessing agency when they are able to perceive and act on their own. This perspective has been applied by numerous IS scholars, such as Schuetz and Venkatesh (2020), who argue that machines are increasingly incorporating humanlike capabilities, and Baird and Maruping (2021), who theorize about IS artifacts becoming agentic by

actively and autonomously executing tasks. We thus suggest that the effect of an artifact's voice capabilities on attributed agency is moderated by the executing capabilities of this artifact. To ensure such a strengthening effect, the expectations evoked by the voice-based interaction must be fulfilled by an artifact's "actual agency," defined as the ability to act toward a goal in an autonomous, situated, and flexible manner (Baird & Maruping, 2021; Gray et al., 2007; Jia et al., 2022; Jennings et al., 1998; Russell & Norvig, 2016).

Increasing artifacts' perceived naturalness through voice can lead to frustration, especially if an artifact's competencies are not ensured. Actual agency acts as a mechanism within voice-based interaction by helping fuel the agency attributed to an AI-based artifact through increased NLP performance and naturalness of the artifact's voice as users get a better idea of an artifact's "degree of intelligence" and its actual capabilities.

To understand the moderating effect of an artifact's actual agency on the relationship between the voice capabilities of an AI-based artifact and agency attribution, consider the interaction contexts discussed earlier. Beyond auditory cues, several factors within an interaction can increase the agency of an artifact. Amazon Alexa, for instance, continuously improves by learning from past interaction breakdowns, considers past interactions and sensory input to proactively start an interaction, and adapts its voice to the interaction context (Low, 2020; Tarantola, 2020). Voice-based artifacts are deployed for a variety of tasks, including general purpose tasks, e.g., automotive user interfaces providing drivers with relevant direction information or making specific suggestions based on comparisons and predictions, i.e., in healthcare or educational settings. However, few AI-based artifacts fully execute tasks themselves, e.g., as we would expect from autonomous driving. Accordingly, we suggest that an artifact's autonomy (i.e., executing degree of autonomously), degree of flexibility (i.e., acting in a responsive, proactive, and social manner), and degree of situatedness (i.e., reacting to sensory input)—which is in line with Jenning's et al.'s (1998) conceptualization of agency—need to be considered as factors moderating the impact of voice capabilities on attributed agency.

#### 3.1.4 Autonomy

Increased NLP performance and voice naturalness—the main mechanisms through which voice capabilities of an artifact positively influence agency attribution—depend on the degree of autonomy an artifact possesses and enacts. Having the ability to control information and make decisions, autonomous artifacts interact without

<sup>&</sup>lt;sup>1</sup> Amazon Polly is a proprietary TTS system (https://aws.amazon.com/de/polly/) and MaryTTS (https://github.com/marytts/marytts) is an open-source TTS software.

human intervention (Berente et al., 2021; Möhlmann et al., 2021) which becomes apparent in scenarios such as autonomous driving (Frazzoli et al., 2002), investment advisory (Lee & Shin, 2018), and loan processing (Markus, 2017). According to the seminal agency literature, autonomy implies the ability to control one's own actions and leverage prior experiences and the information one has gathered (Emirbayer & Mische, 1998; Jennings et al., 1998; Russell & Norvig, 2016). Turning towards voice-based artifacts, such artifacts can independently react to and generate output in response to a user input yet also draw on past user inputs. For example, according to Google's "tapering" strategy, voice-based artifacts adapt the level of detail provided in an interaction according to the interaction history with a user. If a user has interacted many times with the artifact, the prompts provided become less comprehensive, compared to the more extensive prompts provided to a novice user (Branham & Roy, 2019). The more autonomous the AI-based artifact, the greater the ability to control one's own actions and leverage previous interactions, thereby increasing the interaction capabilities of the voice-based artifact. Berger et al. (2021) illustrate how an artifact's ability to learn and improve increases users' reliance on the artifact's advice. Artifacts inductively improve through data and experience (Berente et al., 2021), as depicted by deep and reinforcement learning (LeCun et al., 2015) and fueled by the surge of big data (Chen et al., 2012). Yet the overall presence of voice-based artifacts executing tasks fully on their own is limited, highlighting again the importance of autonomy as an important moderator of the relationship between an artifact's voice capabilities and its attributed agency.

**Proposition 2a.** The greater the degree of autonomy of an AI-based artifact, the stronger the relationship between the voice capabilities of an AI-based artifact and the agency attributed to the artifact will be.

#### 3.1.5 Flexibility

Increased NLP performance and voice naturalness also depend on the artifact's degree of flexibility in terms of being able to adapt to an environment in a proactive and social manner (Jennings et al., 1998; Schuetz & Venkatesh, 2020). "Flexibility" encompasses aspects of goal directedness (capability of making plans, being proactive, and working toward a goal), responsiveness (capability of perceiving the environment and responding to changes in it), sociability (capability of interacting with others and supporting them in their activities), and self-control (capability of exercising self-restraint over desires, emotions, and impulses) (Gray et al., 2007; Jennings et al., 1998; Schuetz & Venkatesh, 2020). In their experimental study, Schuetzler et al. (2020), for instance, consider a voicebased artifact's conversational skills in terms of providing varied and tailored responses to assess the artifact's ability to engage with the user in a social

manner. The greater the degree of flexibility, the greater the signaling of an artifact's abilities, thereby strengthening the impact of voice capabilities on attributed agency.

Flexibility becomes relevant in that commercially available voice-based artifacts can be tailored toward certain target populations in terms of the modifiability of the speech rate and the time-out periods of the voice output. For example, an artifact can increase its rate of speech when interacting with visually impaired users (who can usually process voice considerably faster than users without impaired vision), and longer voice timeout periods for elderly or disabled people who may take longer to formulate a command (Branham & Roy, 2019). Such flexibility not only offers greater personalization and interactions that can fulfill the needs and preferences of individual users, but they can also provide minorities with new technological interaction possibilities and reach neglected user groups (Metatla et al., 2019; Schlögl et al., 2013; Sutton et al., 2019). Another example is that of deaf or hearing-impaired individuals who require customization options. Default voice-based artifacts often use a high pitch, which may be incompatible with hearing aids (Blair & Abdullah, 2020). The more goal-directed, responsive, social, and controlled the activities of a voice-based artifact (e.g., responsiveness to users' individual and contextual factors), the more natural and performative voice-based interaction becomes, making the artifact appear to be more competent.

**Proposition 2b.** The greater the degree of flexibility of an AI-based artifact, the stronger the relationship between the voice capabilities of the AI-based artifact and the agency attributed to the artifact will be.

#### 3.1.6 Situatedness

The moderating effect of the agency of an AI-based artifact on the relationship between an artifact's voice capabilities and attributed agency also depends on the artifact's degree of situatedness, defined as the artifact's ability to receive, react to, and influence sensors in an environment (Baird & Maruping, 2021; Jennings et al., 1998). Schuetz and Venkatesh (2020) refer to this ability as contextuality (p. 463): "[Artifacts] may draw on multiple sources of information, including both structured and unstructured digital information, as well as sensory inputs (visual, gestural, auditory, or sensorprovided)." This implies that the user does not have to act as a middleman but interacts directly with the external, contextual environment. In this sense, some decisions and input criteria might be opaque to the user. For instance, a voice-based artifact operating in a medical surgery room monitors the real-time environment and performs user-independent actions to modify the environment by being connected with other sensory devices, i.e., by adjusting an operating table or

increasing gas pressure (Perrakis et al., 2012). This strategy can be extended to other aspects, i.e., an artifact's voice becoming louder when the background noise of a user's environment increases.

AI-based artifacts exhibit smart material properties that interfaces in ecosystems can easily utilize—for instance, when context factors trigger ecosystem orchestrators such as IFTTT<sup>2</sup> services (Knote et al., 2021). Amazon Alexa, for instance, provides this situatedness through built-in routines such as smart home activities, including adjusting the lightning or room temperature, reminding users to drink water when coughing is detected, and playing soothing sounds to calm down users' dogs when barking is detected. IFTTT scenarios are also relevant in the organizational context, i.e., when project management applications and documents are connected to a voice-based artifact (Assumption 1 in our model). A financial analyst could, for instance, ask Alexa to update or modify a spreadsheet. In a similar vein, a manager could ask Alexa to add a "to do," which then leads the voice-based artifact to update the manager's calendar (Finnegan, 2017). While everyday voice-based artifacts are increasingly connected with other devices, many actions are still controlled via the voice input of the human user, pointing towards the importance of artifact situatedness as an important moderator of the relationship between the voice capabilities of an AIbased artifact and agency attribution.

**Proposition 2c:** The greater the degree of the situatedness of an AI-based artifact, the stronger the relationship between the voice capabilities of the AI-based artifact and the agency attributed to the artifact will be.

#### 3.2 Uncertainty

Users of voice-based artifacts often interact with them in contexts of uncertainty, although users simply relying on these artifacts can involve high risks and high personal costs in cases of system error (Assumption 1 in our model). In such interaction contexts, the auditory cues of the artifact are often the only cues available to the user to make sense of a (decision-making) situation (Assumption 2 in our model), making the artifact low-defined from the user perspective (Natale, 2021). While research suggests that transparency and explainability are important design measures that can help users make sense of the technical nature and underlying working mechanisms of AI-based artifacts (Berente et al., 2021), we rarely find these in commercially available voice-based artifacts.

Uncertainty, defined as the degree of ambiguity that users experience in an interaction with an AI-based artifact in a given context (Melara & Mounts, 1994; Pavlou et al., 2007), acts as a key determinant driving human cognition. Underlying system information, including the logic of the predictive model, the data it was trained on, the performance of the model (Knight, 2017), and details on the providers and institutional factors of an artifact (Granados et al., 2010; Vimalkumar et al., 2021) could help users better estimate the competencies of an artifact in a specific context. However, this information is typically not disclosed to users. Accordingly, when this information is unavailable, users must rely on the cues available to them and use their own heuristics to make sense of an interaction context and the party with which they are interacting.

Principal-agent theory helps explain how uncertainty induces users to attribute human characteristics to make sense of an artifact's actions (Pavlou et al., 2007) and "increases confidence in predictions of this agent in the future" (Epley et al., 2007, p. 866). These kinds of heuristics are important for agency attribution because they provide critical drivers regardless of the actual agency of the system on which the use of the artifact depends. We differentiate between two sources of uncertainty that exacerbate agency attribution: (1) how the AI-based artifact itself is designed and disclosed, i.e., the outcome and effects of an artifact's actions or the underlying model of an artifact are uncertain, and (2) how familiar the user is with the context in which they are interacting with the AI-based artifact and the environmental cues available in this context. We argue that uncertainty, both in terms of system and context, must be considered in our model, as it may play an important role in exacerbating the relationship between an artifact's voice capabilities agency attribution by clouding users' understanding of the artifact and inducing users to attribute levels of agency that are greater than they are necessarily experiencing.

#### 3.2.1 System Uncertainty

Uncertainty can arise due to missing information when trying to make sense of an AI-based artifact, i.e., the imperfect information and opaque nature of AI-based artifacts may make it difficult to accurately predict an artifact's true attributes and competencies (Berente et al., 2021; Pfeffer & Salancik, 1978). As proposed by Berente et al. (2021, p. 22), the degree of transparency represents "the amount [artifact] owners wish to disclose or occlude" about the artifact at hand. First, given the stochastic nature of ML-based artifacts, the

iOS reminder app" or "If the International Space Station passes over your house, then you'll get a smartphone notification about it" (Martin & Finnegan, 2020)

<sup>&</sup>lt;sup>2</sup> IFTTT is an abbreviation for "if this, then that" and is a service to orchestrate various IT artifacts based upon several (contextual) factors. Examples include "If you add a new task to your Amazon Alexa to-dos, then it will be added to your

underlying logic of a model and its respective output cannot be fully predicted and will always lead to a limited amount of visibility into the system (Knight, 2017). Second, the intransparent design of AI-based artifacts, particularly regarding voice, may facilitate or cause deception (Sarkadi et al., 2021) when artifacts are mistaken for humans (Gehl & Bakardijeva, 2016) and thereby mobilize users' tendency to attribute agency (Natale, 2021). Google Duplex, for instance, is an extension of Google Assistant, which has demonstrated the capacity to take over service tasks previously executed by humans, e.g., taking care of dinner bookings or hairdressing reservations via phone. In demonstrating this capacity, Duplex did not disclose itself as not being human nor identify the technical processes it was using, which placed the presented technology in the limelight of media discussions and invoked social concerns (O'Leary, 2019). Schuetz and Venkatesh (2020) highlight this issue by challenging the prevailing assumption that humans are always aware that they are interacting with an AI-based artifact.

According to Natale (2021), users both deliberately and unconsciously engage with AI-based artifacts, reaping their pragmatic benefits without having to deal with the underlying technical complexities associated with such artifacts—a phenomenon coined as "banal deception." A common example of such engagement is the AI-based artifact Replika, which mimics users' texting style and offers 24/7 companionship to its users. As voice-based artifacts are not necessarily embodied, higher levels of deception can be induced. Anthropomorphism acts as a coping mechanism to reduce system uncertainty (Epley et al., 2007; Pavlou et al., 2007) in that "voice assistants encourage users, by relying on them to apply their own stereotyping, to contribute actively to the construction of sense around the disembodied voice" (Natale, 2021, p. 114). Doing so, however, induces users to uncritically and incompletely engage with the artifact at hand, ultimately leading users to ascribe capabilities to the system out of convenience.

**Proposition 3a:** The greater the uncertainty a user associates with the artifact they are interacting with, the stronger the relationship between the voice capabilities of an AI-based artifact and the agency attributed to the artifact will be.

#### **3.2.2** Context Uncertainty

Uncertainty can also arise due to missing information in order to make sense of an interaction context, i.e., driving in an unknown city or making a forecasting decision with limited information on the forecasting task and topic. In that sense, contextual uncertainty encompasses the degree to which the (future) state of the environment cannot be accurately anticipated or predicted due to imperfect information and ambiguity regarding how to arrive at an ideal future state (Pfeffer & Salancik, 1978).

Similar to system uncertainty, context uncertainty can induce users to attempt to reduce or cope with the uncertainty in particular ways, i.e., by relying on the system. For instance, news outlets in a number of countries have regularly reported on cases in which drivers blindly followed the instructions of their navigation systems, which ultimately led to dangerous and even fatal outcomes for the users of these systems (Frankfurter Allgemeine Zeitung, 2022; Lin et al., 2017; Nederlandse Omrop Stichting, 2020). This illustrates the potential pitfalls of users blindly relying on such systems when they find themselves in uncertain situations, such as driving in a foreign country. In a similar vein, Logg et al. (2019) found that in a forecasting task where users had little to no expertise in the forecasting decision at hand, they were more likely to rely on the advice given by an AI-based artifact. Both examples demonstrate that when contextual uncertainty arises, it becomes difficult for a user to assess potential risks and an appropriate decision (Baier, 1986; Jones, 1996). At the same time, effectance motivation assumes that humans aim to interact effectively and thus reduce uncertainty (Epley et al., 2007). Attributing agency to an artifact can therefore function as a coping mechanism to reduce contextual uncertainty and increase comprehensibility in the user's environment.

**Proposition 3b:** The greater the uncertainty a user associates with the context of an interaction, the stronger the relationship between the voice capabilities of an AI-based artifact and the agency attributed to the artifact will be.

#### 3.3 User Characteristics

The attributed agency of an AI-based artifact is only as strong as the users' capability to understand and assess the artifact's competencies. The more familiar a user is with voice-based artifacts and the more an artifact's voice resonates with the user, the stronger the agency attributed to the artifact is likely to be. With everyday voice-based interactions increasingly being introduced organizational decision-making (Assumption 1 in our model), IT consumerization stresses the importance of considering users' previous interactions and identification with an artifact. Berente et al. (2021) coined this notion of AI-based artifacts as "inscrutability," with such artifacts being "intelligible only to a select audience while remaining opaque to others, or, in some cases, not intelligible to humans at all" (p. 1437).

User characteristics involve individual user differences such as a user's propensities and dispositions, demographic aspects, cultural background, and experience (Zhang & Li, 2005; Zhang et al., 2002). Understanding certain user characteristics is crucial, as "individual characteristics often influence how [users] perceive the outcome of an event and attribute responsibility, and their interaction with technology"

(Jia et al., 2022, p. 390). For instance, research has shown that voice-based artifacts are perceived differently depending on the user's gender (Song et al., 2020). We introduce Brunswik's (1956) notion of the "lens" to the information systems community to explain the human judgment process and how it is influenced by individual factors, as compared to a normative judgment process. The sensemaking of a voice-based artifact and its output is thus based on the perspectives and knowledge of the user. The lens perspective provides insights into how an environmental stimulus and its variables (in this case, an AI-based artifact's voice and auditory cues) influence an individual's (in this case, an artifact's user's) perceptions (Scholz, 2017). The perceptions of a user are not necessarily inscribed in individual, distinguishable auditory cues but must be inferred by combining and interpreting individual cues and by taking environmental factors such as user characteristics into account. In this sense, users rely on their own lens and make sense of interaction contexts based on familiar cues and experiences.

Thus, while certain individual and combined auditory cues evoke certain associations, caution is required when attempting to generalize because each user acquires and interprets these cues through their own contextual lens. Therefore, user characteristics act as another key mechanism of voice-based interaction with AI-based artifacts. We suggest that both the familiarity and the similarity of a user with an AI-based artifact need to be considered as factors moderating the impact of voice capabilities on agency attribution in that they influence how users interpret and interact with an artifact.

#### 3.3.1 User Familiarity

To fully understand why and to what extent users attribute agency to voice-based artifacts, it is necessary to assess the extent to which the user's cognitive process incorporates considerations of familiarity. User familiarity is defined as the extent of a user's previous experiences with, interactions with, and learning regarding similar artifacts (Komiak & Benbasat, 2006; Rotter, 1971). Based on Assumption 1 of our model, we view the interaction with an AI-based artifact not as completely new but rather one that is usually informed by comparable experiences, i.e., interaction with the same or other types of voice-based artifacts. According to Rotter (1971), previous experiences with situations determine expectations for a particular situation that is perceived to be similar. Familiarity is thus acquired through a user's prior and direct experiential exchanges with an artifact. Hence, the understanding and sensemaking of an AIbased artifact are a function of the user's literacy regarding such artifacts (Kovalerchuk et al., 2021).

The more a user learns to express their needs in an interaction and learns what types of questions to ask and what kinds of answers or explanations an artifact can give, the more familiarity with the artifact increases (Komiak

& Benbasat, 2006). The more familiar users become with an artifact, the more their expectations regarding the actual capabilities of an artifact will become calibrated and the more they will be able to assess such capabilities. Accordingly, user familiarity will likely strengthen the impact of voice capabilities on agency attribution. For instance, consider a scenario in which a user attempts to optimize their smart home by configuring and using as many smart devices as possible. A user who is already very familiar with Amazon Alexa, knows Alexa's "wake" words, and understands how to set up a new, networked smart device, will experience Alexa as much more agentic than a novice Alexa user. Since users are encouraged to use Alexa multiple times a day, even though they are capable of, for example, turning off their lights themselves, the AI-based artifact continuously supplies the underlying voice capabilities with new user input data, helping it improve its voice-based interaction with the user. Many companies such as Amazon are taking advantage of this effect by providing users with detailed information about an artifact's capabilities prior to the first interaction (often, the artifact itself communicates this information) to prevent situations in which the limits of an artifact's competencies are challenged. As a result, system designers can help increase the agency attributed to it by establishing and increasing users' literacy regarding the artifact.

**Proposition 4a:** The greater the degree of familiarity of a user with an AI-based artifact, the stronger the relationship between the voice capabilities of the AI-based artifact and the agency attributed to the artifact will be.

#### 3.3.2 User Similarity

The rationale behind agency attribution to a voice-based artifact is also a function of user similarity, defined as the perceived similarity between an artifact's voice and the user's voice in terms of auditory cues (Byrne, 1971). Analogous to user familiarity, user similarity also shapes users' attribution of agency to an artifact and, by extension, the level of trust in the system. As humans generally seek to affiliate with or be in the presence of others (Cheek & Buss, 1981), similarity with an artifact's voice allows the user to identify with the artifact (Suh et al., 2011). In fact, "similarity to self..., provides evidence that one is functioning in a logical and meaningful manner" (Byrne et al., 1967, p. 83), which leads to an attribution of naturalness, preference, and trust (e.g., Cowan et al., 2016; Dahlbäck et al., 2007).

Agency attribution is crucial in trust-relevant contexts such as automated driving and thus requires a voice with which the user can identify. Truschin et al. (2014) illustrated how matching the gender of the artifact's voice to the user's gender reduces cognitive demand in driving scenarios, ultimately making the interaction with the voice-based artifact more effective. In another real-world driving study, Braun et al. (2019) found that

matching the word choice and intonation of the automotive user interface to that of the driver's caused the interface to be perceived as more trustworthy and likable than a default voice character. Building on similarity attraction theory, further empirical studies have demonstrated that desirable interaction outcomes can be induced through similarity between the artifact and the user, which would thus require the artifact's voice to be adapted to the human voice of the user (Byrne, 1971). As such, Eyssel et al. (2012) reported that same-gender voice-based robots were perceived more positively by the user, were associated with greater psychological closeness, and were more strongly anthropomorphized. In a similar vein, matching an AIbased artifact's accent to that of the user increases user acceptance (Cowan et al., 2016). Commercial providers have realized the implications of mirroring the user's voice with more personalized options in terms of dialect—e.g., language and Apple gender/dialect choices for Siri. High similarity between the user and artifact can help users ascribe positive competencies to the artifact, including the agency attributed to the artifact.

**Proposition 4b:** The greater the degree of similarity between a user and an AI-based artifact, the stronger the relationship between the voice capabilities of the AI-based artifact and the agency attributed to the artifact will be.

#### 4 Discussion

Our research contributes to the literature on user interaction with AI-based artifacts (Benbya et al., 2021; Diederich et al., 2022, Murtarelli et al., 2021; Puranam & Vanneste, 2022; Schuetz & Venkatesh, 2020) by explaining the role of artifacts' voice capabilities for agency attribution. In the context of AI-based artifacts, agency attribution is particularly interesting for voicebased interactions due to the modality's unique properties and interaction implications. We posit a positive direct relationship between the voice capabilities of an AI-based artifact and the agency attributed to the artifact by its users—a relationship that is moderated by the artifact's actual agency, the uncertainty revolving around users' interactions with the artifact, and users' characteristics. We shed light on new and increasingly prevalent forms of interaction with AI-based artifacts, wherein a user's perception of the agency of an artifact is a function of the voice capabilities of the artifact. Integrating our model of voice-based interaction with AI-based artifacts with the extant agency literature, we argue that the agency attributed to AI-based artifacts is made stronger through the use of voice as an interaction modality and is realized through the naturalness of the artifact's voice as well as its natural language performance. This highlights the need to examine the key implications and boundaries of the conceptual model and introduces future research avenues.

Our explanation of agency attribution in the era of AIassisted decision-making offers a novel set of insights. First, prior research describes an increasingly crucial and distinctive category of interaction with AI-based artifacts focused on voice-based interaction. As stated by Gregory et al. (2021), voice-based artifacts offer a "low effort interaction with AI for users and thus fuel sustainable adoption and use as they offer a more natural and intuitive way for humans to interact with the machines and use products and services" (p. 543). In such contexts, the user experience is heavily shaped by the naturalness of the artifact's voice and the cues available in the artifact. We surmise that voice-based interaction largely influences a user's overall perception of an AI-based artifact and subsequent behavioral consequences, i.e., decision-making (Baird Maruping, 2021; Berente et al., 2021; Brynjolfsson, 2022; Gregory et al., 2021; Metcalf et al., 2019; Raisch & Krakowski, 2020).

Previously introduced opportunities around the richness of the voice modality also present new or existing accessibility, privacy, and personal data challenges. Due to the nonverbal cues transmitted in a voice-based message, more privacy-sensitive information about the users themselves is conveyed (e.g., gender, age, emotional state). Further, voice-based interactions may induce users to more easily disclose sensitive information due to the natural and effortless type of interaction. As NLP performance hinges on the richness and volume of user input, the collection of user input puts the user at greater risk in that voice can serve as a biometric identifier. Security and privacy issues should thus be more thoroughly addressed in future research. As noted by Lowry et al. (2017), questions regarding user compliance, data collection, and aggregation have yet to be discussed in the context of voice-based interaction with AI-based artifacts. For instance, Dickhaut et al. (in press) discussed the storage of voicebased user data on the device versus in the cloud regarding its privacy and system performance implications. This becomes particularly important when considering increasing IT consumerization (Assumption 1 of our conceptual model), where the lines of personal and work-related IT use are increasingly blurred.

Second, our conceptual model demonstrates how inappropriate levels of agency attribution might be induced through the voice capabilities of an AI-based artifact alone. For instance, having a high NLP performance does not per se lead to agentic artifacts. Large language models perform well in generating natural language output that is sophisticated and correct in terms of syntax and grammar. However, this output is often unfaithful or nonsensical, e.g., when it refers to a nonexistent information source. Despite a lack of contextualization and quality, i.e., abilities of reasoning and understanding, high NLP performance, i.e., linguistic capacities, can make a system be perceived as

highly sophisticated, competent—and agentic. Researchers have thus started to warn about the linguistic capabilities of such models as "hallucinations" and deceitful, as users might thereby perceive an AI-based artifact's overall agency to be wrongfully high (Ji et al., 2023). While such models are largely deployed in text-based interaction, recent developments such as GPT-4 including auditory input make the large-scale deployment of large language models in voice-based interaction likely.

In addition, the CASA paradigm and social response theory are the dominant theoretical lenses guiding the design of AI-based artifacts and also apply to voicebased artifacts (Schmitt et al., 2021). Voice design theory tends to apply heuristics known from interpersonal communication to interaction with AIbased artifacts, with voices imitating the human voice. Previous studies have explored personality models for agents and interfaces (Völkel et al., 2020) or built their theorizing on the notion that perceptions of the human voice also apply to perceptions of artifacts' voices (Marge et al., 2010). At the same time, known models human-human communication are inappropriately applied to HCI and design strategies for modality-agnostic HCI are not necessarily suitable for voice-based interaction (Guzman, 2019). For instance, non-humanlike spoken dialogue design has been shown to reduce speech collisions during interactions with a voice-based artifact (Funakoshi et al., 2010). From an ethical perspective, relying on the notion that an AIbased artifact's voice should resemble the human voice—for example, through increased naturalness can be a hazardous strategy. Anthropomorphizing a voice-based artifact in its design may increase its attributed agency, potentially beyond its technical capabilities, ultimately leading to overreliance or mistrust (Puranam & Vanneste, 2022). Such design strategies raise the question of how to calibrate the "naturalness" of a voice-based artifact to ensure levels of trust, reliance, and user behavior that match the artifact's actual agency.

Future research could complement the body of HCI research focusing on system capabilities and users' understanding of and conscious engagement with AIbased artifacts. This research stream is concerned with. for instance, whether and how objective information on an artifact's performance and capabilities or evaluative decision explanations might induce appropriate reliance in AI-assisted decision-making (Bansal et al., 2019; Lu & Yin, 2021; Miller, 2023; Zhang et al., 2020). Voicerelated design choices, i.e., the use of voice as an interaction modality and its design could hence be guided by when what levels of agency attribution are warranted—and when they are not. In line with the idea of reducing system uncertainty, design studies could explore when and how to disclose AI-based artifacts interacting via voice as nonhuman, or in which cases it is desirable to reduce the naturalness of an artifact's voice. Moving beyond our conceptual model, we suggest empirically examining the impact of AI-based artifacts and voice-based interaction on the downstream consequences of agency attribution, including user trust and behavioral outcomes such as decision-making quality (Puranam & Vanneste, 2022). The resulting attribution may manifest in trust (Behrens et al., 2018; Chiou et al., 2020) or other implications such as reliance on AI advice or increased use (e.g., Cho et al., 2019). Preliminary research has investigated how the modification of auditory cues can promote the trust in and acceptance of voice-based artifacts and help users behave in a socially acceptable and accountable manner (Vance et al., 2015). Mixed results have been reported regarding dependent variables such as perceptions of trust and agency (Hess et al., 2009; Elkins & Derrick, 2013) and it should be questioned whether and how agency attribution acts as an important mediator helping to explain these results.

Third, revisiting the assumptions made in the theory building of agency attribution through voice-based interaction offers important boundary conditions to understanding our conceptual model and raises questions to be addressed in future research. Our focus on voice as a predominant modality and the cognitive user process also implies that agency attribution is instance specific. Research on other perceptual user outcomes such as attributed trust and performance has proposed that it is difficult to generalize user attributions across (dissimilar) tasks and contexts, as expectations and affordances differ from task to task (Mayer et al., 1995; Sitkin & Roth, 1993). Therefore, levels of agency attribution are comparable only across similar tasks and task complexities. These assumptions suggest that interactions where users interact with AI-based artifacts via alternative or multiple modalities might not offer the same propositions for agency attribution. They might also encompass interactions with very different levels of context complexity and task requirements; thus, our understanding of uncertainty and an artifact's actual agency might be inappropriate.

However, AI-based artifacts are composed of multiple modalities—for instance, there are stand-alone voice artifacts, including automotive user interfaces, as well as embodied conversational systems, such as virtual assistants or physical robots (Craig & Schroeder, 2017; Guzman, 2019; Masina et al., 2020; Schöbel et al., in press). Prevalent themes emerging from the literature include comparisons between modalities and investigations of how the presence (or absence) of voice affects the perceptions of, responses to, and interactions with multimodal artifacts. While voice-only interactions enable more focused interaction, i.e., by facilitating learning outcomes such as memorization (Berry et al., 2015), in certain contexts, i.e., retailing and education, voice as an additional interaction modality can make the

interaction unnecessarily complex and can thus potentially modify system uncertainty or user familiarity (Pagani et al., 2019). Relatedly, increased task complexity introduced through the type of task (e.g., information retrieval versus judgment), processing requirements or (number of) actions involved (e.g., IFTTT) might afford the need for multiple modalities and change users' cognitive processes (Campbell, 1988; Wood, 1986). An ethnographic study of elderly people using personal assistants at home found an affinity toward text-based communication (Schlögl et al., 2013). Complementary modalities are crucial in this case since visual notifications can provide meaningful information beyond auditory cues. Related to an artifact's degree of situatedness, users should be able to connect it to additional devices, such as smartphones operating via multiple modalities. Our focus on human interaction with AI-based artifacts using voice as the predominant interaction modality implies that our theory cannot, at present, speak to these interaction cases or to agency from technical or ontological perspectives.

Fourth, our theory assumes that humans' response to new stimuli (i.e., an AI-based artifact) is based on their response to known stimuli (i.e., fellow humans) (Guttman & Kalish, 1956; Shepard, 1987; Zhao & Malle, 2022) and that the agency attributable to an AIbased artifact is comparable to the agency attributable to a human (Brynjolfsson & Mitchell, 2017; Puranam & Vanneste, 2022; Samuel, 1959). Viewing our conceptual model through such a lens questions whether humans and artifacts should be analyzed with the same conceptual apparatus, i.e., agency. We acknowledge that it is our responsibility to distinguish between humans and technology. We do not attempt to deny such differences. Rather, our aim is to understand the sociotechnical nature of information systems and explain users' cognitive processes whereby agency is attributed to technology or, alternatively, to examine the unintended implications that arise through stimulus generalization with AI-based artifacts interacting via voice. We argue that such attribution induced through voice can be hazardous as it potentially fails to account for AI-based artifacts' actual capabilities and deployment opportunities. In that sense, the goal of the study is not to suggest how a user can be induced to ascribe more agency to an artifact but to demonstrate that the cognitive process of agency attribution should be consciously considered in the design and deployment of AI-based artifacts in order to ensure that human augmentation through AI-based artifacts is warranted.

### 5 Implications for Managers and System Designers

Managers and system designers are well aware that AIbased artifacts, including those interacting via voice, will become increasingly prevalent—regardless of whether the consideration of such artifacts is enforced

by the organization or informally introduced through IT consumerization and the employees themselves. Viewing the use of voice-based artifacts to augment human decision-making as a point of departure, decision makers should pay careful attention to three key mechanisms of voice-based interaction with AI-based artifacts: (1) an artifact's actual agency, (2) the context and system uncertainty accompanying voice-based artifacts, and (3) user characteristics. Regarding an artifact's actual agency, this means ensuring that the competencies of an artifact offer appropriate levels of autonomy, flexibility, and situatedness for the task and context in which the artifact is deployed. In terms of considering potential uncertainty. this means ambiguities that users may encounter in their decisionmaking processes and hence providing individuals with the necessary amount of information needed for a decision-making task. In addition, it is crucial to consider disclosing the AI-based artifact as such and providing complementary information on the artifact (i.e., underlying technical model, model training data, tasks for which the artifact is deployed, artifact performance metrics), which might help the user better estimate the competencies of an artifact and its suitability for decision-making. With respect to user characteristics, this means accounting for users' extant familiarity with similar types of artifacts and potentially offering supplementary training. By considering user characteristics such as gender, age, and visual or hearing impairment the personalization opportunities of voicebased artifacts and individual auditory cues can be leveraged to better cater to the needs of individual users. Bias and stereotypes associated with certain genders and sociocultural backgrounds that could potentially be introduced through voice should be considered in the design of AI-based artifacts as well. When successfully considering and implementing these mechanisms, users will likely attribute calibrated levels of agency to voicebased artifacts, which can then facilitate the sustainable and effective use of AI-based artifacts for human decision-making.

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# **Appendix**

For some of our constructs, no exact assessment exists. Constructs can be measured or construct specification can begin by using or adapting self-reported and independent measures from previous studies.

Table A1 Assessment of the Conceptual Model's Key Constructs

Construct	Definition	Assessment	
		Self-reported	Independent
Agency attribution	In a specific instance, a user's perceptual estimation of an artifact's agency based on own beliefs and experience with an artifact.	Perceived agency (Jennings et al., 1998; Puranam & Vanneste, 2022)	1
NLP performance	The extent of an artifact's ability to understand and respond to human language as it is spoken and written.	1	Performance metrics including accuracy, precision, recall, or word error rate (Billsus & Pazzani, 1999; Sujatha & Rajagopalan, 2017)
Naturalness of voice	Replicability of human auditory cues in the design of an artifact.	Humanness of artifact's voice (Lankton et al., 2015; Schuetzler et al., 2020)	Use of natural (versus robotic) voice (Cowan et al., 2006)
Degree of autonomy	A dimension of agency referring to an artifact's ability to perform (parts of) a task independently of direct human intervention by being in control of own actions and state, and by leveraging previous interactions.		Degree of decision-making latitude (Baird & Maruping, 2021)
Degree of flexibility	A dimension of agency referring to an artifact's ability to to adapt to an environment in a proactive and social manner.	Artifact's goal-directedness, responsiveness, sociability, and self- control (Gray et al., 2007; Jennings et al., 1998; Schuetzler et al., 2020)	- ·
Degree of situatedness	A dimension of agency referring to an artifact's ability to receive, react to, and influence sensors in an environment.	Artifact's contextuality (Gray et al., 2007; Jennings et al., 1998)	Artifact's awareness capabilities (Bairo & Maruping, 2021)
System uncertainty	The degree to which an artifact's true attributes and nature cannot be accurately anticipated or predicted due to imperfect information.	Uncertainty, trustworthiness, or transparency of artifact (Pavlou et al., 2007)	Use (presence) of explanatory methods and analyses; presence of system disclosure (Jacovi et al., 2021; Wang & Benbasat, 2016)
Context uncertainy	The degree to which the (future) states of the environment cannot be accurately anticipated or predicted due to imperfect information.	· · · · · · · · · · · · · · · · · · ·	Information asymetry and the environmental dimensions of a task environment (Dess & Beard, 1984)
User familiarity	A dimension of user characteristics referring to a user's previous experiences with, interactions with, and learning of similar artifacts.	Algorithmic familiarity (Gefen, 2000; Komiak & Benbasat, 2006)	Historical user data and time spent with system
User similarity	A dimension of user characteristics referring to the perceived similarity between an artifact's voice and the user's voice in terms of auditory cues.	1	User matching, i.e., regarding the pitch, gender or accent of a voice (Lubold et al., 2020; McGinn & Torre, 2019; Suh et al., 2011)

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